

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-19 14:56:47

**2. Agency:** 027

**3. Bureau:** 00

**4. Name of this Investment:** Consolidated Business Information System (CBIS)

**5. Unique Project (Investment) Identifier:** 027-00-01-01-01-1020-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

This investment is for the implementation of a new core financial management system, the Consolidated Business Information System (CBIS). To help address the challenge of implementing and maintaining its financial management systems, OPM is guided by the Federal Financial Management Improvement Act of 1996 (FFMIA), the Chief Financial Officers (CFO) Act, and OMB's Financial Management Line of Business (FMLoB) Migration Planning Guidance. In addition, these systems must comply substantially with (1) federal financial management systems requirements, (2) federal accounting standards, and (3) the U.S. Government Standard General Ledger at the transaction level. Because the current core financial management system cannot be reconfigured to fully meet the requirements of FFMIA, OPM is leveraging and implementing Financial Systems Integration Office (FSIO) certified Commercial Off-The-Shelf (COTS) technology to address regulatory and compliance deficiencies. CBIS is being implemented in two phases. Phase I will address OPM's Salary and Expense(SE)and Revolving Fund (RF) processes related to OPM's administrative operations. Phase II will address the Trust Fund processes. OPM is currently providing post deployment support for Phase 1 Release 1 which was successfully deployed in October 2009. OPM is progressing towards Phase 1 Release 2 and 3 and is in the process of performing Phase 2 Assessment and Planning. CBIS will assist OPM in meeting its strategic goal to "Expect the Best" by providing financial management systems and tools that support financial business operations and informed decision-making. OPM envisions a future in which relevant, reliable and timely financial information, affirmed by a clean audit opinion, is available on a continual basis to support federal employees in making management decisions at all levels throughout the agency with the expectation that this will ultimately improve human resource operations. In addition, programs and operations must be supported by sound financial systems and related concepts of operation that ensure the integrity, timeliness, and completeness of the data and information contained within the system. CBIS will assist OPM in moving closer to its vision of becoming a model agency to other federal agencies.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned)alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

a. If "yes," what was the date of this approval? \*

**10. Contact information of Program/Project Manager?**

- **Name:** \*
- **Phone Number:** \*
- **Email:** \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
OPMBPA420800004	Firm-Fixed Price	Y	2008-09-30	2008-10-01	2012-09-30	\$11.1	*	*	*	*	*
OPMBPC420900013	Time and Materials	Y	2009-04-16	2009-04-16	2010-12-30	\$3.1	*	*	*	*	*
OPMBPA420800002	Firm-Fixed Price	Y	2008-08-01	2008-08-01	2017-09-30	\$79.9	*	*	*	*	*
OPMBPC420900011	Time and Materials	Y	2009-04-06	2009-04-06	2011-11-30	\$2.9	*	*	*	*	*
IA4209000030	Interagency Agreement	Y	2009-07-01	2009-07-01	2009-10-01	\$0.1	*	*	*	*	*
IA4209000031	Interagency Agreement	Y	2009-06-10	2009-06-10	2009-10-31	\$0.0	*	*	*	*	*
OPMPO4209000038	Time and Materials	Y	2009-08-13	2009-08-14	2010-11-10	\$0.1	*	*	*	*	*
Training and Management Assistance (TMA) Planned OandM	Interagency Agreement	Y	2009-07-01	2009-07-01	2018-10-01	\$0.3	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Expect the Best: Develop Procurement Summary for Gap Analysis of the Common Government-wide Accounting Classification (CGAC) by July 1, 2009.	*	*	Contract PWS for CGAC Gap Analysis	0%	100%	100%
2009	Expect the Best: Complete GFIS/PD Data Cleanup by August 31, 2009	*	*	Successful final cycle test of mock data conversion	0%	100%	100%
2009	Expect the Best: Develop Procurement Summary to Exercise contract option for Phase 2 to implement the trust fund system components by April 30, 2009	*	*	Contract execution /negotiation for Trust Fund implementation	0%	100%	20%
2009	Expect the Best: Enhance GFIS/PD's Financial Data Portal (FDP) to archive financial data (E-43)	*	*	Develop data archive strategy plan to comply with NARA	0%	100%	75%
2009	Expect the Best: To conduct requirement workshop sessions by functional area to confirm, verify, prioritize and baseline the detailed product requirements	*	*	Review and confirm that CBIS requirements are valid and identify corrective action for requirement gaps for all of the 12 business process areas	Develop a detail analysis plan of the application functionality needed by the business processes to create the basis for the package software gap analysis	To be completed October 31, 2008	100%
2009	Expect the Best: Achieve full cost recovery for line of business (E-21)	*	*	Populate and monitor the projected 5 year line of business financial models	15%	For FY 2008 expected results, no more than 15% variance from projections	Measure removed by OPM CBIS leadership
2009	Expect the Best: Maintain prompt pay performance at 98% and improve non-FISD division by 10%	*	*	Develop and report year-to-date prompt pay metrics	98%	Maintain 98% or better	96.80%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
(E-49/E8/E-48)							
2009	Expect the Best: IT hosting infrastructure and core financial system application availability (E-56)	*	*	Percentage of time GFIS is available to users during a 14 hr business day	98%	Ensure system availability above a 98% rating.	98%
2009	Expect the Best: Receive an unqualified audit opinion and report no material weakness every year (E-16)	*	*	Percentage of accounts receivable delinquency (% of debt over 181 days vs. total debt)	10%	Reduce accounts receivables delinquency rate to 10% or below	10%
2010	Expect the Best: Deploy CBIS Phase 1 by October 1, 2009.	*	*	Completion of Operational Readiness Review and migration to host facilities	0%	100%	100%
2010	Expect the Best: Provide operational and maintenance support for new CBIS beginning October 1, 2009	*	*	Execution of all hosting/SLA components	0%	100%	100%
2010	Expect the Best: OPM will be a model of performance for other Federal agencies- (E-56)	*	*	Percentage of time CBIS is available to users during a 14 hr business day	98%	SSP to ensure system availability above a 98% rating.	98.5% for the first 3 months
2010	Expect the Best: Decommission GFIS and legacy interface systems (E-45)	*	*	Deactivate system components	0%	100%	75%
2011	Expect the Best: Support OPM's key financial stewardship responsibilities, such as administrative control of funds, status of funds, tracking and reporting, and proper revenue recognition.	*	*	Percent of Financial Transactions with Errors	0%	0-2%	
2011	Expect the Best: Support OPM's key financial stewardship responsibilities, such as administrative control of funds, status of funds,	*	*	Percent Reduction in Unobligated Balances Lapsing	0%	90-100%	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	tracking and reporting, and proper revenue recognition.						
2011	Expect the Best: Support OPM's key financial stewardship responsibilities, such as administrative control of funds, status of funds, tracking and reporting, and proper revenue recognition.	*	*	Percent of Expired Unliquidated Obligations	0%	0-5%	
2011	Expect the Best: Support OPM's key financial stewardship responsibilities, such as administrative control of funds, status of funds, tracking and reporting, and proper revenue recognition.	*	*	Variance Between the General Ledger and the Reconciled General Ledger	0%	0-5%	
2011	Expect the Best: Improve support for reimbursable agreement management by automating manual interfaces and subsuming some existing systems.	*	*	Percent of Automated Agreements	0%	100%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percent of Availability of IT Hosting Infrastructure (IT Hosting Infrastructure Availability)	0%	98-100%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale,	*	*	Amount of Money Saved with the New Financial System Compared to Historical Costs for Financial Systems	0%	>10%	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	leverage an existing support network, and ultimately reduce the Total Cost of Ownership						
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percent Difference Between Money Budgeted and Spent on the Financial System	0%	0 +/- 5%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percent of Availability of Core Financial System Application(s)	0%	98-100%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Average Time Elapsed for the Help Desk to Resolve Tier 1 Trouble Tickets	0 hours	8 hours	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an	*	*	Percentage of Tier 1 Trouble Ticket Calls Resolved on the First Attempt	0%	?90%	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	existing support network, and ultimately reduce the Total Cost of Ownership						
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percentage of Tier 1 Critical Trouble Ticket Calls Resolved Within 4 Hours	0%	?95%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percentage of Tier 1 High Trouble Ticket Calls Resolved Within 8 Hours	0%	?95%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and ultimately reduce the Total Cost of Ownership	*	*	Percentage of Tier 1 Medium Trouble Ticket Calls Resolved Within 2 Business Days	0%	?95%	
2011	Expect the Best: Use up-to-date, proven technology and software to allow a long system lifespan, gain economies of scale, leverage an existing support network, and	*	*	Percentage of Tier 1 Low Trouble Ticket Calls Resolved Within 30 Business Days	0%	?95%	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	ultimately reduce the Total Cost of Ownership						
2011	Expect the Best: Implement Financial Management Line of Business (FMLOB) requirements, such as an integrated acquisitions process, security screen classifications, and advanced workflow capabilities.	*	*	Percent of Unresolved Privacy Findings (Privacy Compliance Assessment)	0%	0%	
2011	Expect the Best: Improved ability to manage three large entitlement funds: Retirement, Health Care, and Life Insurance	*	*	Percent of Payments Not Paid On Time	0%	0-2%	

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Implement and Deploy CBIS Phase 1	\$33.3	\$32.2	2008-08-28	2008-08-28	2010-06-30		97.00%	90.00%
Implement and Deploy CBIS Phase 2	\$11.7	\$1.4	2009-01-07	2009-01-07	2011-01-31		42.00%	32.00%
Maintain and Operate CBIS Phase 1	\$6.4	\$3.9	2009-10-01	2009-10-01	2010-12-31		69.00%	69.00%
Maintain and Operate CBIS Phase 2	*	*	2011-01-03		2018-07-31		0.00%	0.00%

\* - Indicates data is redacted.